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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

07/03/2003 KZEWDIE 00000022 10673700

~~1-FC:1001~~

~~750.00-OP~~

Adjustment date: 01/21/2004 EEKUBAY1

10/03/2003 KZEWDIE 00000022 10673700

01 FC:1001 -750.00 OP

01/21/2004 EEKUBAY1 00000006 10673700

01 FC:2001 375.00 OP

02 FC:2202 333.00 OP

03 FC:2201 42.00 OP

PTO-1556

(5/87)

Law Offices

**HOLLAND & KNIGHT LLP**

633 West Fifth Street  
Twenty-First Floor  
Los Angeles, California 90071-2040

213-896-2400  
FAX 213-896-2450  
http://www.hklaw.com

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**FACSIMILE**

<b>TO:</b>		<b>DEPOSIT ACCOUNT BRANCH</b>		<b>(703) 308-6778</b>	
<b>REFUND SECTION</b>		<b>U.S. PATENT AND TRADEMARK OFFICE</b>			
<b>ACCOUNTING DIVISION</b>					
<b>OFFICE OF FINANCE</b>					
<b>NAME</b>	<b>COMPANY/FIRM</b>	<b>FAX NUMBER</b>			
Alexandria	Virginia	<b>(703) 305-4631</b>			
<b>CITY</b>	<b>STATE / COUNTRY</b>	<b>(TELEPHONE NUMBER)</b>			
<b>FROM:</b>					
<b>ROBERT E. LYON</b>		<b>(213) 896-2545</b>		<b>9</b>	
<b>NAME</b>	<b>TELEPHONE</b>	<b>TOTAL PAGES (Including Cover Sheet)</b>			
<b>FOR THE RECORD:</b>					
<b>DATE: January 13, 2004</b>		<b>URGENCY: <input type="checkbox"/> SUPER RUSH <input type="checkbox"/> RUSH <input checked="" type="checkbox"/> REGULAR</b>			
<b>FAXED BY:</b>		<b>FILE #: 088141.00001</b>		<b>CLIENT NAME: COVIT</b>	
<b>CONFIRMED: <input type="checkbox"/> YES <input type="checkbox"/> NO</b>		<b>NAME:</b>		<b>TIME:</b>	
If you did not receive all of the pages or find that they are illegible, please call (213) 896-2400		<b>CONFIDENTIALITY NOTICE:</b> This facsimile, along with any documents, files, or attachments, may contain information that is confidential, privileged, or otherwise exempt from disclosure. If you are not the intended recipient or a person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, printing, distribution or use of any information contained in or attached to this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or by telephone collect at the numbers stated above, and destroy the original facsimile and its attachments without reading, printing, or saving in any manner. Your cooperation is appreciated. Thank you.			

**ORIGINAL:** ☐ WILL ☒ WILL NOT FOLLOW VIA MAIL

**MESSAGE:**In re Application of: **Raymond Paul Covit**Application No. **10/673,700**Group Art Unit: **3748**Filed: **September 29, 2003**

Examiner:

For: **DIESEL ENGINE EXHAUST PURIFICATION SYSTEM**Attached: **Request for Refund (Improper Charge of Deposit Account)****CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being facsimile transmitted to the United States Patent and Trademark Office on

Date: **January 13, 2004**

*Catherine R. Viquelia*  
Catherine R. Viquelia

# 1517713\_v1

HK Ref. 088141.00001

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Raymond Paul Covit  
Application No.: 10/673,700 Group No.: 3748  
Filed: September 29, 2003 Examiner:  
For: DIESEL ENGINE EXHAUST PURIFICATION SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

ATTENTION: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

## Refund Request

This is a request for a refund, with respect to the charge to Deposit Account No. 502481, shown on the statement dated January 2004, for the above-identified

- ☒ application.  
☐ patent.

*(check the following, if desired, and supply copy of statement)*

- ☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

---

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this paper (along with any referred to as being attached or enclosed) is being:

**MAILING**

\_\_\_\_ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450  
37 C.F.R. § 1.8(a) \_\_\_\_\_ 37 C.F.R. § 1.10  
\_\_\_\_ with sufficient postage as first class mail. \_\_\_\_\_ as "Express Mail Post Office to Addressee" Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☒ transmitted by facsimile to the Patent and Trademark Office.

Date: January 13, 2004

Signature

Catherine R. Viquelia

(type or print name of person certifying)

Adjustment date: 01/21/2004 EEKUBAY1  
01/05/2004 UEDUVIJE 00000003 502481 10673700  
01 FC:1202 666.00 CR  
02 FC:1201 84.00 CR

01/13/04 TUE 19:01 [TX/RX NO 9925] 0002

HK Ref. 088141.00001

**Fees Charged for which Refund Requested**

	FEE CODE	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> Filing fee	1001/2001	\$ _____
<input checked="" type="checkbox"/> Independent excess claims	1201/2201	\$ <u>666.00</u>
<input checked="" type="checkbox"/> Excess claims	1202/2202	\$ <u>84.00</u>
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	1051/2051	\$ _____
and/or		
<input type="checkbox"/> Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	1051/2051	\$ _____
<input type="checkbox"/> Extension of term		\$ _____
<input type="checkbox"/> first month	1251/2251	\$ _____
<input type="checkbox"/> second month	1252/2252	\$ _____
<input type="checkbox"/> third month	1253/2253	\$ _____
<input type="checkbox"/> fourth month	1254/2254	\$ _____
<input type="checkbox"/> fifth month	1255/2255	\$ _____
<input type="checkbox"/> Issue fee	1501/2501	\$ _____
<input type="checkbox"/> Petition fee		\$ _____
<input type="checkbox"/> Patent maintenance fee		\$ _____
<input type="checkbox"/> first maintenance fee due 3.5 years	1551/2551	\$ _____
<input type="checkbox"/> second maintenance fee due 7.5 years	1552/2552	\$ _____
<input type="checkbox"/> third maintenance fee due 11.5 years	1553/2553	\$ _____
<input type="checkbox"/> Patent maintenance fee surcharge		\$ _____
<input type="checkbox"/> Other:		\$ _____

**TOTAL REFUND REQUESTED \$ 750.00**

HK Ref. 088141.00001

**Explanation of Why Contested Charge Is In Error**

Attached is a copy of Applicant's New Application Transmittal, its Check No. 84005873 in the amount of \$750.00 and its return stamped postcard. As indicated on the transmittal form, Applicant claimed small entity status as asserted in its prior Provisional Application No. 60/435,412. Applicant also enclosed its check to cover the requisite fees. Applicant believes the Office inadvertently charged Deposit Account 502481 when in fact the requisite fees were paid by check.

**Manner of Refund**

Please make refund by

- ☒ crediting Deposit Account No. 502481.
- ☐ crediting credit card as shown on the attached credit card information authorization form PTO-2038.  
**WARNING:** Credit card information should *not* be included on this form as it may become public.
- ☐ refunding payment.

Reg. No. 24.171

Telephone No. (213) 896-2545

Customer No. 34261

  
SIGNATURE OF PRACTITIONER**ROBERT E. LYON**

(type or print name of practitioner)

**HOLLAND & KNIGHT LLP****633 West Fifth Street, 21<sup>st</sup> Floor****Los Angeles, California 90071-2040****P.O. Address**

JAN2004

# 1517152\_v1



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

Requested Statement Month: January 2004  
 Deposit Account Number: 502481  
 Name: HOLLAND & KNIGHT LLP  
 Attention: CATHERINE R VIQUELIA  
 Address: 633 WEST FIFTH STREET, 21ST FLOOR  
 City: LOS ANGELES  
 State: CA  
 Zip: 90071-2040

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/05	4	10673700	088141.00001	1202	\$666.00	\$1,834.00
01/05	5	10673700	088141.00001	1201	\$84.00	\$1,750.00
01/13	7	2049256		6206	\$200.00	\$1,550.00
		START	SUM OF	SUM OF	END	
		BALANCE	CHARGES	REPLENISH	BALANCE	
		\$2,500.00	\$950.00	\$0.00	\$1,550.00	

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HK Ref. 088141.00001

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): **Raymond Paul COVIT**For (title): **DIESEL ENGINE EXHAUST PURIFICATION SYSTEM**

## 1. Type of Application

This new application is for a(n):

☒ Original☐ Design☐ Plant

## 2. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120 or 121)

☒ This new application is also a:☐ Divisional☒ Continuation☐ Continuation-in-part (C-I-P)of prior Application No. 60/435,412, filed on December 20, 2002, and claims the benefit of such prior U.S. application(s).

## 3. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

15 Pages of specification4 Sheets of drawing15 Pages of claims☒ formal1 Pages of abstract☐ Informal31 TOTAL PAGES

B. Oath or Declaration

☒ Newly executed (original or copy)2 TOTAL PAGES

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10

I hereby certify that, on the date shown below, this paper (along with any referred to as being attached or enclosed) is being:

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\_\_\_\_\_ with sufficient postage as first class mail.

37 C.F.R. § 1.10

☒ as "Express Mail Post Office to Addressee" Mailing  
Label No. EU493711179US (mandatory)

Signature

CATHERINE R. VIGUELIA

(type or print name of person certifying)

Date: September 29, 2003



HK Ref. 088141.00001

## 4. Additional papers enclosed

☒ Power of Attorney

## 5. Fee Calculation (37 C.F.R. § 1.18)

A. ☒ Regular application

CLAIMS AS FILED					
	Number Filed		Number Extra	Rate	Basic Fee 37 C.F.R. § 1.18(a) \$ 750.00
Total Claims (37 C.F.R. § 1.18(c))	57	- 20 =	37	x \$ 18.00	\$666.00
Independent Claims (37 C.F.R. § 1.18(b))	4	- 3 =	1	x \$ 84.00	\$84.00
Multiple dependent claim(s), if any (37 C.F.R. § 1.18(d))				+ \$ 280.00	
FILING FEE CALCULATION					<b>\$1,500.00</b>

## 6. Assertion of Small Entity Status

☒ Status as a small entity was asserted in the prior application 60/435,412, filed on December 20, 2002, from which benefit is being claimed for this application under: 35 U.S.C.

☒ § 119(e) ☐ § 120 ☐ § 121 ☐ § 365(c)

and which status as a small entity is still proper and asserted for this application.

FILING FEE CALCULATION (50% of A, B or C above)

**\$ 750.00**

## 7. Fee Payment Being Made at This Time

☒ Enclosed Filing fee\$ 750.00

TOTAL FEES ENCLOSED

\$ 750.00

## 8. Method of Payment of Fees

☒ Attached is a ☒ check ☐ money order in the amount of \$ 750.00.

☒ Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 502481. A duplicate of this paper is attached.

Reg. No. 26,300

Telephone No. (213) 896-2510

Facsimile No. (213) 896-2450

Customer No.



34261

PATENT TRADEMARK OFFICE

APR2003

# 1219033\_V1

SIGNATURE OF PRACTITIONER

RICHARD E. LYON, JR.

(type or print name of practitioner)

HOLLAND &amp; KNIGHT LLP

633 West Fifth Street, 21<sup>st</sup> Floor

Los Angeles, California 90071-2040

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Raymond Paul COVIT  
 Filed: Concurrently Herewith  
 For: Diesel Engine Exhaust Purification System

### NEW UTILITY PATENT APPLICATION

Enclosure(s): 2-page Transmittal (in duplicate); 31-page Application including 15-page Specification, 15-page Claims and 1-page Drawings; 4 sheets of Formal Drawings; 2-page Declaration; 1-page Power of Attorney and Check No. 84005873 for \$750.00

Date of Mailing: September 29, 2003  
 Express Mail Label No.: EU493711179US

HOLLAND &amp; KNIGHT LLP

1038785 Commissioner for Patents

09/25/03

CHECK NO.

84005873

VOUCHER NO.	INVOICE NO.	GL NO.	DESCRIPTION	AMOUNT
1199994	60/435.412	01104610000000000000000000	088141-00001	750.00
TOTAL				\$750.00

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 St. Petersburg, FL

63-781/631

84005873

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DATE  
 09/25/03

AMOUNT  
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Commissioner for Patents  
 P.O. Box 1450  
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TWO SIGNATURES REQUIRED ON CHECKS OVER \$2,500



⑈84005873⑈ ⑆063107513⑆ 2090002390441⑈

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Applicant: Raymond Paul COVIT  
Filed: Concurrently Herewith  
For: Diesel Engine Exhaust Purification System

**NEW UTILITY PATENT APPLICATION**

Enclosure(s): 2-page Transmittal (in duplicate); 31-page Application including 15-page Specification, 15-page Claims and 1-page Drawings; 4 sheets of Formal Drawings; 2-page Declaration; 1-page Power of Attorney and Check No. 84005873 for \$750.00

Date of Mailing: September 29, 2003

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03940 U.S. PTO  
10/673699



092903